



**Certification Regarding
Debarment, Suspension, and
Other Responsibility Matters***



SRF Project Number _____

Project Name _____

Project Sponsor _____

**See Instructions for who must submit this form and where/when it should be submitted.*

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
2. Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and
4. Have not within a three year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default; and
5. Will not contract with an entity that is presently debarred, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 U.S.C. Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Name of Prospective Participant (Town, Utility, Contractor, Subcontractor)

Printed Name and Title of Authorized Official

Signature of the Authorized Official

Date

I am unable to certify the above statements. Attached is my explanation.

Submit by email to DHEC project manager or by mail to:
State Revolving Fund Division, S.C. DHEC, 2600 Bull Street, Columbia, SC 29201

INSTRUCTIONS – DHEC 3590

PURPOSE: The *Certification Regarding Debarment, Suspension, and Other Responsibility Matters* form (Debar form) is used to certify that potential participants are not debarred, suspended, or otherwise excluded from or ineligible for participation in Federal assistance programs under Executive Order 12549 “Debarment and Suspension”, as required for State Revolving Fund (SRF) projects designated as equivalency projects.

INSTRUCTIONS:

- This form is to be filled out by prospective participants in the State Revolving Fund program, including towns, public utilities, contractors, and subcontractors (when the subcontract is greater than \$25,000).
- Fill in the project sponsor’s name, project name, and SRF project number.
- Fill in the potential participants name and name of authorized official for the participant. Sign and date the form to certify that the potential participant is not debarred, suspended, or otherwise excluded from or ineligible for participation in Federal assistance programs under Executive Order 12549 “Debarment and Suspension,” or, provide an explanation why the potential participant cannot.
- Where/when to submit:
 - A prospective loan recipient (Sponsor) must return the signed certification or explanation with the preliminary engineering report.
 - A prospective prime contractor must submit a signed certification or explanation to the entity awarding the contract (Sponsor) for submittal to the SRF Division. Upon receipt, the Sponsor must visit sam.gov and search to confirm that the contractor is not presently excluded from participation in federally-assisted opportunities.
 - A prospective subcontractor, with a subcontract worth over \$25,000, must submit a signed certification or explanation to the (prospective) prime contractor for the project for submittal to the SRF Division Upon receipt, the prime contractor must then visit sam.gov and search to confirm that the entity is not presently excluded from participation in federally-assisted opportunities.
 - See also, scdhec.gov/SRFGuidance.

DHEC REVIEW AND FILING. The SRF Division will use this form to document compliance with Executive Order 12549. The Sponsor’s Debar form will be kept in the Loan file for the named project, and the prime contractor or subcontractor’s Debar form will be kept in the DBE/EEO or Bidding file for the named project and will be retained for three years following the final SRF disbursement to the project’s Sponsor - per Retention Schedule 15795.